



<b>Form 1449 (Modified)</b>  <b>Information Disclosure Statement By Applicant</b>  (Use Several Sheets if Necessary)	Atty Docket No.    SIPXP054C1 Application No.:    10/666,912 Inventor             HAUBRICH, et al Group                Unassigned Filing Date         September 19, 2003
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**U.S. Patent Documents**

Examiner Initial	No.	Patent No.	Date	Patentee	Class	Sub-class	Filing Date
SPC	A	3,612,758	10/12/71	Evans, et al	178	5.4R	10/3/69
	B	3,668,106	6/6/72	Ota	204	299	4/9/70
	C	3,697,679	10/10/72	Hathaway	178	6.6A	7/1/70
	D	4,071,430	1/31/78	Liebert	204	299	12/6/76
	E	4,093,534	6/6/78	Carter, et al	350	355	5/5/75
	F	4,285,801	8/25/81	Chiang	204	299 R	8/20/79
	G	4,655,897	4/7/87	DiSanto et al	204	299 R	11/13/84
	H	4,663,192	5/5/87	Hatakeyama	427	108	
	I	4,680,103	7/14/87	Beilin Solomon I., et al	204	299 R	1/24/86
	J	4,741,988	5/3/88	Van der Zande, et al	430	312	5/1/86
	K	4,995,718	2/26/91	Jachimowicz et al	353	31	11/15/89
	L	5,102,497	4/7/92	Hamaguchi et al	156	656	
	M	5,177,476	1/5/93	DiSanto, et al	345	107	8/19/91
	N	5,276,438	1/4/94	DiSanto, et al	345	107	11/20/91
	O	5,279,511	1/18/94	DiSanto, et al	445	24	10/21/92
	P	5,380,362	1/10/95	Schubert	106	493	7/16/93

**Foreign Patent or Published Foreign Patent Application**

Examiner Initial	No.	Document No.	Publication Date	Country or Patent Office	Class	Sub-class	Translation	
							Yes	No
SPC	Q	WO 99/56171	11/4/99	PCT	G02F	1/167		
	R	WO 00/60410	10/12/00	PCT	G02F	1/167		
	S	WO 01/67170	9/13/01	PCT	G02F	1/167		
	T	WO 00/36465	6/22/00	PCT	G02F	1/167		
	U	1 089 117 A2	4/4/01	Europe	G02F	1/167		
	V	59-171930	9/28/84	Japan	G02F	1/19		

**Other Documents**

Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
SPC	W	Cominsky, B. et al., "An Electrophoretic Ink for All-Printed Reflective Electronic Displays", Letters to Nature, pp. 253-255 (1998)
	X	Dalisa, A. L., "Electrophoretic Display Technology", IEEE Transactions of Electron Devices, July 1997, pp. 827-834.
	Y	Drzaic, Pl, "Liquid Crystal Dispersion", The PDLC Paradigm, (1995) p. 1-9
	Z	Harvey, T. G. "Replication Techniques for Micro-Optics", SPIE, Vol 3099, p 76-82 (1997)
	AA	Hopper, M.A. and Novotny, V., "An Electrophoretic Display, It's Properties, Model and Addressing" IEEE Transactions on Electron Devices, Vol. ED-26, No. 8, Aug. 1979, pp. 1148-1152

Examiner /Sing Chan/ Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	Date Considered    12/11/2006
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<b>Information Disclosure Statement By Applicant</b>	Application No.:	10/666,912
	Inventor	HAUBRICH, et al
	Group	Unassigned
	Filing Date	September 19, 2003
(Use Several Sheets if Necessary)		

#### U.S. Patent Documents

Examiner Initial	No.	Patent No.	Date	Patentee	Class	Sub-class	Filing Date
SPC	A	5,403,518	4/4/95	Schubert	252	572	12/2/93
	B	5,573,711	11/12/96	Hou, et al	252	572	11/21/95
	C	5,589,100	12/31/96	Grasso	252	299.01	3/20/96
	D	5,699,097	12/16/97	Takayama, et al	347	171	4/20/95
	E	5,835,174	11/10/98	Clinkeman, et al	349	86	9/19/96
	F	5,914,806	6/22/99	Gordon II, et al	359	296	2/11/98
	G	5,930,026	7/27/99	Jacobson, et al	359	296	10/25/96
	H	5,961,804	10/5/99	Jacobson, et al	204	606	3/18/97
	I	5,976,405	11/2/99	Clinkeman, et al	252	299.01	12/23/97
	J	6,017,584	1/25/00	Albert, et al	427	213.3	8/27/98
	K	6,037,058	3/14/00	Clinkeman, et al	428	402.2	5/26/99
	L	6,067,185	5/23/00	Albert, et al	359	296	8/27/98
	M	6,111,598	8/29/00	Faris	348	57	11/12/93
	N	6,113,810	9/5/00	Hou, et al	252	572	3/3/95
	O	6,120,588	9/19/00	Jacobson	106	31.16	9/23/97
	P	6,120,839	9/19/00	Comiskey, et al	427	213.3	8/27/98
	Q	6,172,798	1/9/01	Albert, et al	359	296	5/15/00
	R	6,184,856	2/6/01	Gordon, II, et al	345	107	9/16/98
	S	6,232,950	5/15/01	Albert et al	345	107	8/27/98
	T	6,252,624	6/26/01	Yuasa, et al	348	56	3/12/98
	U	6,312,304	11/6/01	Duthaler, et al	445	24	12/14/99
	V	6,327,072	12/4/01	Comiskey, et al	359	296	4/6/00
	W	6,337,761	1/8/02	Rogers, et al	359	296	10/1/99
	X	6,392,786	5/21/02	Albert	359	296	6/29/00
	Y	20020126249	9/12/02	Liang, et al	349	187	1/11/01
	Z	20020182544	12/5/02	Chan-Park, et al	430	311	1/15/01

#### Other Documents

Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
SPC	AA	Harbour, J.R. et al, "Subdivided Electrophoretic Display", Xerox Disclosure Journal, Vol 4, No. 6 (1979)
	BB	Inoue, et al, "Low Temperature Poly-Si TFT - Electrophoretic Displays (TFT-EPDs) with Four Level Gray Scale", Int'l Electron Devices Meeting 2000, Technical Digest San Francisco, CA Dec 10-13, 2000, pages 197-200.
	CC	Lewis, J.C., et al., "Gravitational, Inter-Particle and Particle-Electrode Forces in Electrophoretic Display" Proceeding of the S.I.D., Vol 18/3&4 1977.
	DD	
Examiner	/Sing Chan/	
	Date Considered	12/11/2006

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**U.S. Patent Documents**

Examiner Initial	No.	Application No.	Filing Date	Patentee	Class	Sub-class	Filing Date
SPC	A	09/518,488	3/3/00	LIANG, et al			
SPC	B	09/606,654	6/28/00	LIANG, et al			

**Foreign Patent or Published Foreign Patent Application**

Examiner Initial	No.	Document No.	Publication Date	Country or Patent Office	Class	Sub-class	Translation	
							Yes	No
	B							

**Other Documents**

Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
SPC	C	Murau, P., et al., "The Understanding and Elimination of Some Suspension Instabilities in an Electrophoretic Display", J. Appl. Phys., 49 (9), (1978)
	D	Nakamura, E., et al., "Development of Electrophoretic Display Using Microcapsulated Suspension", SID Digest, (1998), pp. 1014-1017.
	E	Ota, I., et al., "Electrophoretic Image Display EPID Panel", Proceedings of the IEEE, Vol 1, No. 7, July 1973
	F	Singer, B. et al, "An X-Y Addressable Electrophoretic Display", Proceeding of the S.I.D., Vol 18/3&4, (1977)
	G	Slafer, D.W., et al., "Continuous Manufacturing of Thin Cover Sheet Optical Media", SPIE, Vol. 1663, (1992), pp. 323-335.
Examiner /Sing Chan/		Date Considered 12/11/2006

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#### U.S. Patent Documents

Examiner Initial	No.	Patent No.	Date	Patentee	Class	Sub-class	Filing Date
SPC	A	6,080,606	June 27, 2000	Gleskova, et al	438	151	Mar. 26, 1996
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	C						
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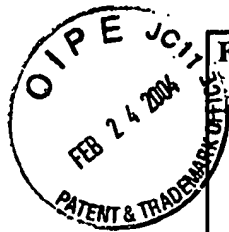
#### Foreign Patent or Published Foreign Patent Application

Examiner Initial	No.	Document No.	Publication Date	Country or Patent Office	Class	Sub-class	Translation	
							Yes	No
SPC	L	03 084521	10/04/91	Japan	G02F	1/1343		No
	M	09 254 332	09/30/97	Japan	B22B	27/08		No
	N	0 390 465	10/03/90	Europe	G03F	7/004	Yes	
	O	02/01281	01/03/02	WO	G02F	1/00	Yes	
	P							

#### Other Documents

Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
	R	
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**U.S. Patent Documents**

Examiner Initial	No.	Patent No.	Date	Patentee	Class	Sub-class	Filing Date
SPC	A	6,490,021	Dec 3, 2002	Koyama	349	139	April 23, 1999
I	B	6,507,376	Jan 14, 2003	Nakamura	349	38	Dec 20, 2001
I	C	2003/0038772 A1	Feb 27, 2003	De Boer et al	345	107	Aug 19, 2002
	D						
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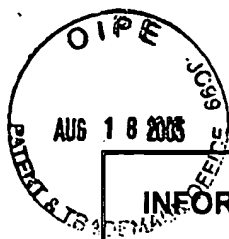
**Foreign Patent or Published Foreign Patent Application**

Examiner Initial	No.	Document No.	Publication Date	Country or Patent Office	Class	Sub-class	Translation	
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	N							
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**Other Documents**

Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
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	S	
	T	
Examiner /Sing Chan/		Date Considered 12/11/2006

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<b>INFORMATION DISCLOSURE CITATION</b>  <b>SUPPLEMENTAL PTO-1449</b>	<b>ATTY. DOCKET NO.</b> 07783.0054.CPUS02	<b>SERIAL NO.</b> 10/666,912
	<b>APPLICANT :</b> Jeanne HAUBRICH, et al.	
	<b>FILING DATE:</b> 9/19/2003	<b>GROUP :</b> 1762

### U.S. PATENT DOCUMENTS

EX'R INITIAL	PATENT NO.	DATE MM-YYYY	NAME	CLASS	SUBCLASS	FILING DATE
SPC	4,345,000	08-1982	Kawazoe et al			
	4,368,281	01-1983	Brummett et al			
	4,910,045	03-1990	Giesecke et al			
	4,977,013	12-1990	Ritchie et al			
	5,436,034	07-1995	Giesecke et al			
	5,460,922	10-1995	Swirbel et al			
	5,837,609	11-1998	Todd et al			
	5,888,372	03-1999	Bollens et al			

### FOREIGN PATENT DOCUMENTS

EX'R INITIAL	PATENT NO.	DATE MM-YYYY	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

### OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EX'R INITIAL	DOCUMENT

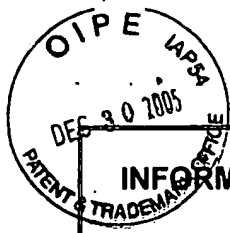
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\*If an asterisk is placed beside the reference number, a copy is not provided because the reference was previously cited by or submitted to the PTO in a prior application that is identical in the statement and relied upon for an earlier filing date under 35 U.S.C. §120. 37 C.F.R. §1.98 (d).



<b>INFORMATION DISCLOSURE CITATION</b>  <b>SUPPLEMENTAL PTO-1449</b>		ATTY. DOCKET NO. 07783.0054.CPUS02		SERIAL NO. 10/666,912			
		APPLICANT : Jeanne HAUBRICH, et al.					
		FILING DATE: 9/19/2003		GROUP : 1762			
<b>U.S. PATENT DOCUMENTS</b>							
EX'R INITIAL	PATENT NO.	DATE MM-YYYY	NAME	CLASS	SUBCLASS	FILING DATE	
SPC	3,935,334	01-1976	Narui et al				
	4,119,483	10-1978	Hubsch et al				
	4,420,515	12-1983	Amon et al				
	4,643,912	02-1987	Nakagawa et al				
	4,714,631	12-1987	Aufderheide				
	5,368,902	11-1994	Todd et al				
	6,294,218	09-2001	Igel et al				
<b>FOREIGN PATENT DOCUMENTS</b>							
EX'R INITIAL	PATENT NO.	DATE MM-YYYY	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
SPC	Int'l Prelim. Exam. Report. PCT/US03/12692	09-2005	PCT				
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
EX'R INITIAL	DOCUMENT						
EXAMINER: /Sing Chan/				DATE CONSIDERED: 12/11/2006			
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**INFORMATION DISCLOSURE  
CITATION**
**Supplemental PTO-1449**
**ATTY. DOCKET NO.**  
07783.0054.CPUS02

**SERIAL NO.**  
10/666,912

**APPLICANT :** Jeanne HAUBRICH, ET AL.

**FILING DATE:**  
9/19/2003

**GROUP:** 1762

**U.S. PATENT DOCUMENTS**

EX'R INITIAL	PATENT NO.	DATE MM-YYYY	NAME	CLASS	SUBCLASS	FILING DATE
SPC	4,022,927	05-1977	Pfieffer et al			
	4,078,935	03-1978	Nakagiri et al			
	4,217,182	05-1980	Cross			
	4,586,980	05-1986	Hirai et al			
	5,495,981	03-1996	Warther			
	5,795,527	08-1998	Nakamura et al			
	6,117,300	09-2000	Carbin et al			
	6,131,512	10-2000	Verlinden et al			
	6,140,025	10-2000	Imai et al			
	6,426,143	07-2002	Voss et al			
	6,930,818	07-2005	Liang et al			
	2002-0083858	07-2002	MacDiarmid, Alan G et al			

**FOREIGN PATENT DOCUMENTS**

EX'R INITIAL	PATENT NO.	DATE MM-YYYY	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
SPC	GB 2 207 289	01-1989	Great Brittan				
	JP 57-177029	10-1982	Japan				
	WO 99/56171	11-1999	PCT				

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

EX'R INITIAL	DOCUMENT

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